

**APPENDIX A TO SUBPART I OF PART 103 –  
CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS  
FOR UOB GROUP ENTITIES**

[OMB Control Number 1505-0184]

*The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).*

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).\*

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

*Special instruction for foreign branches of U.S. banks:* A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

*Special instruction for covering multiple branches on a single Certification:* A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

- A. The undersigned financial institutions, **United Overseas Bank and its branches and subsidiaries listed in the attached Appendix 1** (the "UOB Group entities") hereby, certify as follows:

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\* A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

**B. Correspondent Accounts Covered by this Certification:**

This Certification applies to **all** accounts established for the UOB Group entities by Covered Financial Institutions.

**C. Physical Presence/Regulated Affiliate Status:**

Each of the UOB Group entities maintains a **physical presence**. That means each of the UOB Group entities:

- Has a place of business at the street address set forth in Appendix 1, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities;
- Is authorized to conduct banking activities in the country where its place of business is located; and
- Is subject to inspection by the Banking Authority identified in Appendix 1 that licensed the particular UOB Group entity to conduct banking activities.

**D. Indirect Use of Correspondent Accounts:**

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. The UOB Group entities hereby certify that they do **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

**E. Ownership Information:**

The UOB Group entities are owned directly or indirectly by United Overseas Bank Limited, 80 Raffles Place, Singapore 048624 whose shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

**F. Process Agent:**

The individual or entity: **Mr. Koh Kok Jin, UOB New York Agency**, is a resident of the United States at the following street address: **UOB Building, 592 Fifth Avenue, 10th Floor, 48th Street, New York, NY 10036**, and is authorized to accept service of legal process on behalf of each of the UOB Group entities from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

**G. General:**

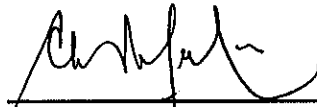
The UOB Group entities hereby agree to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

The UOB Group entities understand that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. The UOB Group entities further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, **Chew Mei Lee** (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of the UOB Group entities.

**United Overseas Bank Limited**

[Name of Foreign Bank]

  
[Signature]

**Chew Mei Lee**

[Printed Name]

**Head of Group Compliance**

[Title]

Executed on this 5<sup>th</sup> day of March, 2010.

**Received and reviewed by:**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

For: \_\_\_\_\_  
[Name of Covered Financial Institution]

Date: \_\_\_\_\_

UOB Group Entities – Appendix 1 to US Patriot Act Certification dated 5<sup>th</sup> March 2010

| Country   | Head Office/<br>Branch/<br>Subsidiary | Name  | Address   | Banking Authority   |
|-----------|---------------------------------------|---|---|---|
| Singapore | Head Office                           | United Overseas Bank Limited, Singapore                   | 80 Raffles Place<br>UOB Plaza<br>Singapore 048624   | Monetary Authority of Singapore                               |
| Singapore | Subsidiary                            | United Overseas Bank Limited,<br>Far Eastern Bank Limited | 156 Cecil Street<br>Far Eastern Building<br>#09-02/03<br>Singapore 069544   | Monetary Authority of Singapore                               |
| Australia | Branch                                | United Overseas Bank Limited, Sydney Branch               | United Overseas Bank Building<br>Level 9<br>32 Martin Place<br>Sydney NSW 2000  | Australian Prudential Regulation Authority                    |
|           | Subsidiary                            | UOB Australia Limited                                     | United Overseas Bank Building<br>Level 9<br>32 Martin Place<br>Sydney NSW 2000  | Australian Investments and Securities Commission              |
| Brunei    | Branch                                | United Overseas Bank Limited, Bandar Seri Begawan Branch  | Unit 10 & 11<br>Bangunan D'Amin Jaya<br>Lot 54989<br>Kg Kiarong<br>Bandar Seri Begawan BE1318<br>Brunei Darussalam            | Financial Institutions Division, Ministry of Finance          |
|           | Branch                                | United Overseas Bank Limited, Kuala Belait Branch         | Ground Floor<br>Chinese Chamber of Commerce Building<br>Lot 104, Jalan Bunga Raya<br>Kuala Belait KA1189<br>Brunei Darussalam |   |
| Canada    | Branch                                | United Overseas Bank Limited, Vancouver Branch            | # 1680 - 650 West Georgia Street<br>Vancouver Centre<br>Vancouver, BC<br>Canada V6B 4N9<br>Canada<br>P O Box 11616            | Office of the Superintendent of Financial Institutions Canada |
| Hong Kong | Branch                                | United Overseas Bank Limited, Hong Kong Main Branch       | 25/F, Gloucester Tower<br>The Landmark<br>15 Queen's Road Central<br>Hong Kong S.A.R  | Hong Kong Monetary Authority                                  |
|           | Branch                                | United Overseas Bank Limited, Central Branch              | Ground Floor<br>54-58 Des Voeux Road Central<br>Central<br>Hong Kong S.A.R  |   |

UOB Group Entities – Appendix 1 to US Patriot Act Certification dated 5<sup>th</sup> March 2010

| Country     | Head Office/<br>Branch/<br>Subsidiary | Name  | Address   | Banking Authority                            |
|-------------|---------------------------------------|---|---|--|
|             | Branch                                | United Overseas Bank Limited, Yaumatei Branch   | Ground Floor<br>554 Nathan Road<br>Kowloon<br>Hong Kong S.A.R   |  |
|             | Branch                                | United Overseas Bank Limited, Mongkok Branch    | Ground Floor<br>794 Nathan Road<br>Kowloon<br>Hong Kong S.A.R   |  |
|             | Branch                                | United Overseas Bank Limited, Sheung Wan Branch | Units 1607 – 1614<br>16/F, Cosco Tower<br>183 Queen's Road<br>Central<br>Hong Kong S.A.R  |  |
| India       | Branch                                | United Overseas Bank Limited, Mumbai Branch     | 3 North Avenue, Maker Maxity<br>Unit 31 & 37, 3rd Floor<br>Bandra - Kurla Complex<br>Bandra (East)<br>Mumbai 400 051              | Reserve Bank of India                        |
| Indonesia   | Subsidiary                            | PT Bank UOB Buana                               | Jln. Gajah Mada No. 1A<br>Jakarta 10130   | Bank Indonesia                               |
|             | Subsidiary                            | PT Bank UOB Indonesia                           | Sona Topas Tower 1st - 3rd<br>Floor<br>Jln. Jend. Sudirman Kav. 26<br>Jakarta 12920   | Bank Indonesia                               |
| Japan       | Branch                                | United Overseas Bank Limited, Tokyo Branch      | New Kokusai Bldg. 4-1<br>3-chome Marunouchi Chiyoda-<br>ku<br>Tokyo 100-0005 Japan  | Financial Services Agency                    |
| South Korea | Branch                                | United Overseas Bank Limited, Seoul Branch      | 1508 Kyobo Bldg.<br>1, Jongno-1ka<br>Jongno-gu<br>Seoul, Korea 110-714  | Financial Supervisory Service                |
| Malaysia    | Subsidiary                            | United Overseas Bank (Malaysia) Bhd             | Level 11, Menara UOB<br>Jalan Raja Laut<br>50350 Kuala Lumpur<br>Malaysia   | Bank Negara Malaysia                         |
|             | Branch                                | United Overseas Bank Limited, Labuan Branch     | Level 6A, Main Office Tower<br>Financial Park Labuan Complex<br>Jalan Merdeka<br>87000 Federal Territory of<br>Labuan<br>Malaysia | Labuan Offshore Financial Services Authority |

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| Country                    | Head Office/<br>Branch/<br>Subsidiary | Name  | Address   | Banking Authority   |
|----------------------------|---------------------------------------|---|---|---|
| People's Republic of China | Subsidiary                            | United Overseas Bank (China) Limited                  | 13/F-14/F, No. 1118, Shanghai Erdos International Mansion Pudong South Road Pudong New Area Shanghai 200122 P.R.China | 1) The People's Bank of China (PBOC)<br>2) China Banking Regulatory Commission (CBRC)           |
| Philippines                | Subsidiary                            | United Overseas Bank Philippines                      | 17F Pacific Star Building Makati Ave. cor. Senator Gil Puyat Ave. Makati City, Philippines 1200                       | Bangko Sentral ng Pilipinas   |
| Taiwan                     | Branch                                | United Overseas Bank Limited, Taipei Branch           | 16F Union Enterprise Plaza 109 Minsheng East Road Section 3 Taipei, Taiwan  | 1) Financial Supervisory Commission<br>2) Central Bank of the Republic of China (Taiwan)        |
| Thailand                   | Subsidiary                            | United Overseas Bank (Thai) Public Company Limited    | 191 South Sathorn Road Bangkok 10120  | 1) Bank of Thailand<br>2) Securities and Exchange Commission<br>3) Anti Money Laundering Office |
| Vietnam                    | Branch                                | United Overseas Bank Limited, Ho Chi Minh City Branch | 17 Le Duan Boulevard, Ground Floor, Central Plaza District 1 Ho Chi Minh City, Vietnam                                | State Bank Of Vietnam   |
| United Kingdom             | Branch                                | United Overseas Bank Limited, London Branch           | 19 Great Winchester Street London EC2N 2BH  | Financial Services Authority  |
| United States of America   | Agency                                | United Overseas Bank Limited, New York Agency         | 592 Fifth Avenue 10th Floor UOB Building New York, NY 10036 United States   | 1) Federal Reserve Bank of New York<br>2) New York State Banking Department                     |
|                            | Agency                                | United Overseas Bank Limited, Los Angeles Agency      | 777 South Figueroa Street Suite 518 Los Angeles, California 90017   | 1) Federal Reserve Bank of San Francisco<br>2) Department of Financial Institutions California  |