

**APPENDIX A TO SUBPART I OF PART 103 –  
CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS  
FOR UOB GROUP ENTITIES**

[OMB Control Number 1506-0043]

*The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).*

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R.1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 1010.100(d))<sup>1</sup>

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

*Special instruction for foreign branches of U.S. banks:* A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

*Special instructions for covering multiple branches on a single Certification:* A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

- A. The undersigned financial institutions, **United Overseas Bank and its branches and subsidiaries listed in the attached Appendix 1** (the “UOB Group entities”) hereby, certify as follows:

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<sup>1</sup> A “foreign bank” does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

**B. Correspondent Accounts Covered by this Certification:**

This Certification applies to **all** accounts established for the UOB Group entities by Covered Financial Institutions.

**C. Physical Presence/Regulated Affiliate Status:**

Each of the UOB Group entities maintains a **physical presence**. That means each of the UOB Group entities:

- Has a place of business at the street address set forth in Appendix 1, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities;
- Is authorized to conduct banking activities in the country where its place of business is located; and
- Is subject to inspection by the Banking Authority identified in Appendix 1 that licensed the particular UOB Group entity to conduct banking activities.

**D. Indirect Use of Correspondent Accounts:**

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. The UOB Group entities hereby certify that they do **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

**E. Ownership Information:**

The UOB Group entities are owned directly or indirectly by United Overseas Bank Limited, 80 Raffles Place, Singapore 048624 whose shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

**F. Process Agent:**

The individual or entity: **Mr. Koh Kok Jin, UOB New York Agency**, is a resident of the United States at the following street address: **UOB Building, 592 Fifth Avenue, 10th Floor, New York, NY 10036**, and is authorized to accept service of legal process on behalf of each of the UOB Group entities from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

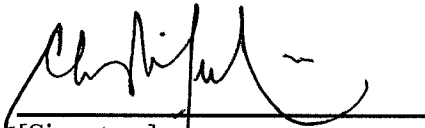
**G. General:**

The UOB Group entities hereby agree to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

The UOB Group entities understand that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. The UOB Group entities further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, **Chew Mei Lee** (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of the UOB Group entities.

**United Overseas Bank Limited**  
[Name of Foreign Bank]

  
[Signature]

**Chew Mei Lee**  
[Printed Name]

**Managing Director, Group Head of Compliance**  
[Title]

Executed on this 12<sup>th</sup> day of July, 2011.

**Received and reviewed by:**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

For: \_\_\_\_\_  
[Name of Covered Financial Institution]

Date: \_\_\_\_\_

UOB Group Entities – Appendix 1 to US Patriot Act Certification dated 12<sup>th</sup> July 2011

Country	Head Office / Branch / Subsidiary	Name	Address	Banking Authority
Singapore	Head Office	United Overseas Bank Limited, Singapore	80 Raffles Place UOB Plaza Singapore 048624	Monetary Authority of Singapore
Singapore	Subsidiary	United Overseas Bank Limited, Far Eastern Bank Limited	156 Cecil Street Far Eastern Building #09-02/03 Singapore 069544	Monetary Authority of Singapore
Australia	Branch	United Overseas Bank Limited, Sydney Branch	United Overseas Bank Building Level 9 32 Martin Place Sydney NSW 2000	Australian Prudential Regulation Authority
	Subsidiary	UOB Australia Limited	United Overseas Bank Building Level 9 32 Martin Place Sydney NSW 2000	Australian Securities and Investments Commission
Brunei	Branch	United Overseas Bank Limited, Bandar Seri Begawan Branch	Unit 10 & 11 Bangunan D'Amin Jaya Lot 54989 Kg Kiarong Bandar Seri Begawan BE1318 Brunei Darussalam	Autoriti Monetari Brunei Darussalam
Canada	Branch	United Overseas Bank Limited, Vancouver Branch	# 1680 - 650 West Georgia Street Vancouver Centre Vancouver, BC Canada V6B 4N9 Canada	Office of the Superintendent of Financial Institutions Canada
Hong Kong	Branch	United Overseas Bank Limited, Hong Kong Main Branch	25/F, Gloucester Tower The Landmark 15 Queen's Road Central Hong Kong S.A.R	Hong Kong Monetary Authority
India	Branch	United Overseas Bank Limited, Mumbai Branch	3 North Avenue, Maker Maxity Unit 31 & 37, 3rd Floor Bandra - Kurla Complex Bandra (East) Mumbai 400 051	Reserve Bank of India
Indonesia	Subsidiary	PT Bank UOB Indonesia	UOB Plaza Jln. M.H. Thamrin No. 10 Jakarta 10230	Bank Indonesia

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Country	Head Office / Branch / Subsidiary	Name	Address	Banking Authority
Japan	Branch	United Overseas Bank Limited, Tokyo Branch	Sanno Park Tower, 13F 2-11-1, Nagatacho, Chiyoda-ku, Tokyo 100-6113, Japan	Financial Services Agency
South Korea	Branch	United Overseas Bank Limited, Seoul Branch	3(A)F, Seoul Finance Center, 84, Taepyungno 1-ga, Jung-gu, Seoul 100-768, Korea	Financial Supervisory Service
Malaysia	Subsidiary	United Overseas Bank (Malaysia) Bhd	Level 11, Menara UOB Jalan Raja Laut 50350 Kuala Lumpur Malaysia	Bank Negara Malaysia
	Branch	United Overseas Bank Limited, Labuan Branch	Level 6A, Main Office Tower Financial Park Labuan Complex Jalan Merdeka 87000 Federal Territory of Labuan Malaysia	Labuan Financial Services Authority
People's Republic of China	Subsidiary	United Overseas Bank (China) Limited	111 Dongyuan Road Pudong New Area Shanghai 200120	1) The People's Bank of China (PBOC) 2) China Banking Regulatory Commission (CBRC)
Philippines	Subsidiary	United Overseas Bank Philippines	17F Pacific Star Building Makati Ave. cor. Gil Puyat St. Makati City, Philippines 1200	Bangko Sentral ng Pilipinas
Taiwan	Branch	United Overseas Bank Limited, Taipei Branch	16F Union Enterprise Plaza 109 Minsheng East Road Section 3 Taipei, Taiwan	1) Financial Supervisory Commission 2) Central Bank of the Republic of China (Taiwan)
Thailand	Subsidiary	United Overseas Bank (Thai) Public Company Limited	191 South Sathorn Road Bangkok 10120	1) Bank of Thailand 2) Securities and Exchange Commission 3) Anti Money Laundering Office
Vietnam	Branch	United Overseas Bank Limited, Ho Chi Minh City Branch	17 Le Duan Boulevard, Ground Floor, Central Plaza District 1 Ho Chi Minh City, Vietnam	State Bank Of Vietnam

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Country	Head Office / Branch / Subsidiary	Name	Address	Banking Authority
United Kingdom	Branch	United Overseas Bank Limited, London Branch	19 Great Winchester Street London EC2N 2BH	Financial Services Authority
United States of America	Agency	United Overseas Bank Limited, New York Agency	592 Fifth Avenue, 10th Floor UOB Building New York, NY 10036 United States	1) Federal Reserve Bank of New York 2) New York State Banking Department
	Agency	United Overseas Bank Limited, Los Angeles Agency	777 South Figueroa Street Suite 518 Los Angeles, California 90017	1) Federal Reserve Bank of San Francisco 2) Department of Financial Institutions California